

Individual Tax Residency 個人稅務居民身份 Self-Certification Form 自我證明表格

Private & Confidential 私人及機密

Name of Policyholder:

保單持有人姓名

Policy Number:

保單號碼

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Important Note 重要事項:

- This is a self-certification form provided by an account holder to a reporting financial institution for the purpose of automatic exchange of financial account information. The data collected may be transmitted by the reporting financial institution to the Inland Revenue Department for transfer to the tax authority of another jurisdiction.
這是由帳戶持有人向申報財務機構提供的自我證明表格，以作自動交換財務帳戶資料用途。申報財務機構可把收集所得的資料交給稅務局，稅務局會將資料轉交到另一稅務管轄區的稅務當局。
- An account holder should report all changes in his/her tax residency status to the reporting financial institution.
如帳戶持有人的稅務居民身份有所改變，應盡快將所有變更通知申報財務機構。
- All parts of the form must be completed (unless not applicable or otherwise specified). If space provided is insufficient, continue on additional sheet(s). Information in fields/parts marked with an asterisk (*) are required to be reported by the reporting financial institution to the Inland Revenue Department.
除不適用或特別註明外，必須填寫這份表格所有部分。如這份表格上的空位不夠應用，可另紙填寫。在欄/部分標有星號 (*) 的項目為申報財務機構須向稅務局申報的資料。
- Please refer to the Inland Revenue Department website for the meaning of terms and expressions definitions of the terms used in this form:
<http://www.ird.gov.hk/eng/pdf/2016/terms.pdf>
有關本表格所用的名詞及措辭釋義，請參閱稅務局網站：<http://www.ird.gov.hk/chi/pdf/2016/terms.pdf>

Part I - Identification of Individual Account Holder (For joint or multiple account holders, complete a separate form for each individual account holder.)

第一部分 - 個人帳戶持有人的身份識別資料 (對於聯名帳戶或多人聯名帳戶，每名個人帳戶持有人須分別填寫一份表格)

1. Name 姓名	* Last Name / Surname 姓氏 Middle Name(s) 中間名		
	* First / Given Names 名字		
2. Hong Kong Identity Card / Passport Number 香港身份證或護照號碼			
3. Current Residence Address 現時住址			
	*City 城市	*Country 國家	Post Code/ZIP Code 郵政編碼/郵遞區號碼
4. Mailing Address 通訊地址 (Complete if different to the current residence address 如通訊地址與現時住址不同，填寫此欄)			
	City 城市	Country 國家	Post Code/ZIP Code 郵政編碼/郵遞區號碼
5. * Date of Birth (DD/MM/YYYY) 出生日期 (日/月/年)	6. Country of Birth (Not compulsory) 出生國家 (可不填寫)		

Part II - Jurisdiction of Residence and Taxpayer Identification Number or its Functional Equivalent ("TIN") ***第二部分 - 居留司法管轄區及稅務編號或具有等同功能的識別編號（以下簡稱「稅務編號」）***

Complete the following table indicating (a) the jurisdiction of residence (including Hong Kong) where the account holder is a resident for tax purposes and (b) the account holder's TIN for each jurisdiction indicated. Indicate all (not restricted to five) jurisdictions of residence.

提供以下資料，列明（a）帳戶持有人的居留司法管轄區，亦即帳戶持有人的稅務管轄區（香港包括在內）及（b）該居留司法管轄區發給帳戶持有人的稅務編號。列出**所有**（不限於 5 個）居留司法管轄區。

If the account holder is a tax resident of Hong Kong, the TIN is the Hong Kong Identity Card Number.

如帳戶持有人是香港稅務居民，稅務編號是其香港身份證號碼。

If a TIN is unavailable, provide the appropriate reason A, B or C:

如沒有提供稅務編號，必須填寫合適的理由 A、B 或 C：

Reason A – The jurisdiction where the account holder is a resident for tax purposes does not issue TINs to its residents.

理由 A – 帳戶持有人的居留司法管轄區並沒有向其居民發出稅務編號。

Reason B – The account holder is unable to obtain a TIN. Explain why the account holder is unable to obtain a TIN if you have selected this reason.

理由 B – 帳戶持有人不能取得稅務編號。如選取這一理由，解釋帳戶持有人不能取得稅務編號的原因。

Reason C – TIN is not required. Select this reason only if the authorities of the jurisdiction of residence do not require the TIN to be disclosed.

理由 C – 帳戶持有人毋須提供稅務編號。居留司法管轄區的主管機關不需要帳戶持有人披露稅務編號。

If you declare the OECD (Organisation for Economic Co-operation and Development) designated country(ies) under residence / citizenship by investment (CBI/RBI) schemes as your sole tax residence, please complete Supplementary Form for Common Reporting Standard. For details, please refer to OECD website, <https://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/residence-citizenship-by-investment/>

如果閣下聲明為經濟合作與發展組織（經合組織）所指的 RBI / CBI 投資移民計劃之國家之稅務居民身份，請填妥共同匯報標準補充表格。詳情請參閱經合組織網頁 <https://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/residence-citizenship-by-investment/>

Jurisdiction of Residence 居留司法管轄區	TIN 稅務編號	Enter Reason A, B or C if no TIN is available 如沒有提供稅務編號，填寫理由 A、B 或 C	* Explain why the account holder is unable to obtain a TIN if you have selected Reason B * 如選取理由 B，解釋帳戶持有人不能 取得稅務編號的原因
(1)		☐ A ☐ B * ☐ C	
(2)		☐ A ☐ B * ☐ C	
(3)		☐ A ☐ B * ☐ C	
(4)		☐ A ☐ B * ☐ C	
(5)		☐ A ☐ B * ☐ C	

Part III - Declarations and Signature

第三部分 - 聲明及簽署

1. I acknowledge and agree that (a) the information contained in this form is collected and may be kept by the financial institution for the purpose of automatic exchange of financial account information, and (b) such information and information regarding the account holder and any reportable account(s) may be reported by the financial institution to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the account holder may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112).

本人知悉及同意，財務機構可根據《稅務條例》（第112章）有關交換財務帳戶資料的法律條文，（a）收集本表格所載資料並可備存作自動交換財務帳戶資料用途及（b）把該等資料和關於帳戶持有人及任何須申報帳戶的資料向香港特別行政區政府稅務局申報，從而把資料轉交到帳戶持有人的居留司法管轄區的稅務當局。

2. I certify that I am the account holder / I am authorized to sign for the account holder of all the account(s) to which this form relates.
本人證明，就與本表格所有相關的帳戶，本人是帳戶持有人 / 本人獲帳戶持有人授權簽署本表格。

3. I undertake to advise Generali Life (Hong Kong) Limited / Assicurazioni Generali S.p.A. Hong Kong Branch (whichever applicable) (the “**Company**”) of any change in circumstances which affects the tax residency status of the individual identified in Part I of this form or causes the information contained herein to become incorrect, and to provide the **Company** Limited with a suitably updated self-certification form within 30 days of such change in circumstances.

本人承諾，如情況有所改變，以致影響本表格第一部分所述的個人的稅務居民身份，或引致本表格所載的資料不正確，本人會通知忠意人壽(香港)有限公司/ 忠意保險有限公司香港分行（如適用）（「**貴公司**」），並會在情況發生改變後 30 日內，向**貴公司**提交一份已適當更新的自我證明表格。

4. I confirm that I have accessed, read and understood the Personal Information Collection Statement (the “**Statement**”) of Generali Life (Hong Kong) Limited / Assicurazioni Generali S.p.A. Hong Kong Branch (whichever applicable) (the “**Company**”), which is available on the **Company**'s website: https://www.generali.com.hk/EN_US/personal_information. I agree that the **Company** may collect, use, store, disclose, transfer and otherwise process my / our personal data in accordance with the terms of the **Statement**. I further confirm that I have obtained the express consent of the life insureds and any other relevant individuals (where applicable) for providing their personal data to the **Company** for the purposes stated in the **Statement** and for allowing the **Company** to collect, use, store, disclose, transfer and otherwise process such personal data in accordance with the terms of the **Statement**.

本人確認已經查閱並且明白由忠意人壽(香港)有限公司/ 忠意保險有限公司香港分行（如適用）（「**貴公司**」）的收集個人資料聲明（「**聲明**」），該聲明可於**貴公司**網站查閱：https://www.generali.com.hk/ZH_HK/personal_information；本人同意**貴公司**可依照該聲明的條款收集、使用、儲存、披露、轉移及以其他方式處理本人的個人資料。本人進一步確認，本人已獲得受保人和任何其他有關人士（如適用）的明示同意，可以按照該聲明所述的用途將他們的個人資料提供給**貴公司**，並允許**貴公司**可依照該聲明的條款收集、使用、儲存、披露、轉移及以其他方式處理該等個人資料。

I declare that the information given and statements made in this form are, to the best of my knowledge and belief, true, correct and complete.

本人聲明就本人所知所信，本表格內所填報的所有資料和聲明均屬真實、正確和完備。

Signature 簽署:

Name 姓名:

Capacity 身份:

(Indicate the capacity if you are not the individual identified in Part I. If signing under a power of attorney, attach a certified copy of the power of attorney)

(如你不是第一部分所述的個人，說明你的身份。如果你是以受權人身份簽署這份表格，須夾附該授權書的核證副本。)

Date (DD/MM/YYYY):

日期 (日/月/年)

WARNING: It is an offence under section 80(2E) of the Inland Revenue Ordinance if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (i.e. \$10,000).

警告：根據《稅務條例》第 80(2E)條，如任何人在作出自我證明時，在明知一項陳述在要項上屬具誤導性、虛假或不正確，或罔顧一項陳述是否在要項上屬具誤導性、虛假或不正確下，作出該項陳述，即屬犯罪。一經定罪，可處第 3 級（即\$10,000）罰款。